

8-20-04

PT02 Re PCT/PTO

18 AUG 2004 PCT

FORMS PTO-1390
(REV 10-96)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

SP02-037

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/505404

INTERNATIONAL APPLICATION NO.

PCT/US03/04534

INTERNATIONAL FILING DATE

14 February 2003

PRIORITY DATE CLAIMED

18 February 2002

TITLE OF INVENTION

GLASS SUBSTRATE PACKAGE

APPLICANT(S) FOR DO/EO/US

Makoto Abe and Tetsuzou Yamada

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C.371.
3. ☐ This express request to being national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. To 16. Below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

081804

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

10/505404

PCT/US03/04534

SP02-037

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a)(1)-(5):

Neither international preliminary examination fee (37 DFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO.....\$1080.00
International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO.....\$920.00
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$770.00
International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33 (1)-(4)\$730.00
International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT = \$770.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from
the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	20 - 20 =		X \$18.00
Independent claims	1 - 3 =		X \$84.00
MULTIPLE DEPENDANT CLAIM(S) (if applicable)			+ \$290.00

\$000.00

\$000.00

\$290.00

TOTAL OF ABOVE CALCULATIONS = \$1,060.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity
Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) +

\$

SUBTOTAL = \$0.00

Processing fee of \$130.00 for furnishing the English translation later than ☐
20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).
+

\$

TOTAL NATIONAL FEE = \$1,060.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment
must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).
\$40.00 per property +

\$40.00

TOTAL FEES ENCLOSED = \$1,100.00

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office
to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents
and Trademarks, Washington, DC 20231

on 8/18/04 By: Thomas R. Beall
(Date) Signature: Thomas R. Beall

"EXPRESS MAIL" Mailing Label No. EL689101389US

**Amount to be
refunded:
Charged: \$0.00**

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Corning Incorporated hereby authorizes use of **Deposit Account No. 03-3325** in the amount of
\$1,100.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 03-3325. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

Send all correspondence to:

Corning Incorporated

SP-TI-03

Corning, NY 14831

Signature

Thomas R. Beall

Registration No.: 40,424

07-974-3921

**The PTO did not receive the following
listed item(s) NO. 404 Assignment
document**

(U.S.)

Attorney Docket No.: SP02-037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Makoto Abe, et al
Serial No.: 10/505,404
Filed: August 18, 2004
For: GLASS SUBSTRATE PACKAGE

**COMBINED
 CERTIFICATE UNDER
 37 C.F.R. § 3.73(b)
 and
 POWER OF ATTORNEY**

Assistant Commissioner for Patents
 Washington, DC 20231

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

CORNING INCORPORATED, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.